

EXHIBIT A

TO REGISTRATION STATEMENT

Under the Foreign Agents Registration Act of 1938, as amended

Furnish this exhibit for EACH foreign principal listed in an initial statement  
and for EACH additional foreign principal acquired subsequently.

RECEIVED  
INVESTIGATIVE  
SECURITY  
DIVISION  
JAN 11 1977

- |   |   |
|---|---|
| 1. Name and address of registrant<br>Haight, Gardner, Poor & Havens<br>One State Street Plaza<br>New York, New York 10004 | 2. Registration No.<br>3108   |
| 3. Name of foreign principal<br>General Administration of<br>Civil Aviation of China (CAAC)                               | 4. Principal address of foreign principal<br>P.O. Box 644<br>117 Dongsì Xi Dajie, Beijing<br>People's Republic of China |
5. Indicate whether your foreign principal is one of the following type:

- ☐ Foreign government
- ☐ Foreign political party
- ☒ Foreign or ☐ domestic organization: If either, check one of the following:
- |   |  |
|---|--|
| <input type="checkbox"/> Partnership            | <input type="checkbox"/> Committee             |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Voluntary group       |
| <input type="checkbox"/> Association            | <input type="checkbox"/> Other (specify) _____ |
- ☐ Individual - State his nationality \_\_\_\_\_

6. If the foreign principal is a foreign government, state:  
Not Applicable  
a) Branch or agency represented by the registrant.
- b) Name and title of official with whom registrant deals.

7. If the foreign principal is a foreign political party, state:  
Not Applicable  
a) Principal address
- b) Name and title of official with whom the registrant deals.
- c) Principal aim

8. If the foreign principal is not a foreign government or a foreign political party,
- a) State the nature of the business or activity of this foreign principal  
Corporation administering and regulating the civil aviation  
of People's Republic of China

b) Is this foreign principal

Owned by a foreign government, foreign political party, or other foreign principal . . . . Yes ☐ No ☐

Directed by a foreign government, foreign political party, or other foreign principal. . . . Yes ☐ No ☐

Controlled by a foreign government, foreign political party, or other foreign principal . . . . Yes ☐ No ☐

Financed by a foreign government, foreign political party, or other foreign principal . . . . Yes ☐ No ☐

Subsidized in whole by a foreign government, foreign political party, or other foreign principal . . . . . Yes ☐ No ☐

Subsidized in part by a foreign government, foreign political party, or other foreign principal . . . . . Yes ☐ No ☐

We believe the answer to the top four questions is yes.

9. Explain fully all items answered "Yes" in Item 8(b). (If additional space is needed, a full insert page may be used.)

At this time, we have no details on the ownership, direction, control or finance of CAAC, but it is a government corporation of the People's Republic of China.

10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

Not applicable.

Date of Exhibit A 5/20/80	Name and Title Richard B. Barnett, Partner	Signature <i>Richard B. Barnett</i>
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UNITED STATES DEPARTMENT OF JUSTICE  
Washington, D.C. 20530

EXHIBIT B

TO REGISTRATION STATEMENT  
Under the Foreign Agents Registration Act  
of 1938, as amended

RECEIVED  
CRIMINAL DIVISION  
MAY 1 1 55 PM '81  
INTERNAL SECURITY  
SECTION  
REGISTRATION UNIT

INSTRUCTIONS: A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements; or, where no contract exists, a full statement of all the circumstances, by reason of which the registrant is acting as an agent of a foreign principal. This form shall be filed in duplicate for each foreign principal named in the registration statement and must be signed by or on behalf of the registrant.

Name of Registrant	Name of Foreign Principal
Haight, Gardner, Poor & Havens	General Administration of Civil Aviation of China (CAAC)

Check Appropriate Boxes:

- ☐ The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach two copies of the contract to this exhibit.
- ☐ There is no formal written contract between the registrant and foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach two copies of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
- ☒ The agreement or understanding between the registrant and foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and the expenses, if any, to be received.  
Fee not yet agreed upon. Will depend upon hours spent and nature of services rendered. Expenses will be reimbursed.
4. Describe fully the nature and method of performance of the above indicated agreement or understanding.

Advice on legal questions arising out of the negotiations of the proposed bilateral treaty on aviation between the U.S. and the P.R.C. and other matters relating to opening of air service between the U.S. and the P.R.C.

5. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

See answer to question 4.

6. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act?<sup>1/</sup> Yes ☐ No ☒

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

Date of Exhibit B	Name and Title	Signature
5/20/80	Richard B. Barnett, Partner	<i>Richard B. Barnett</i>

<sup>1/</sup> Political activity as defined in Section 1(o) of the Act means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.